

# Analysis of Work Zone Crash Reports to Determine Factors Associated With Crash Severity

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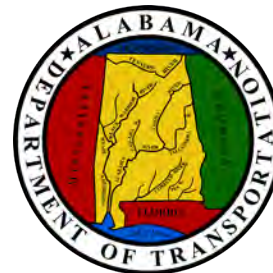
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**STRIDE**

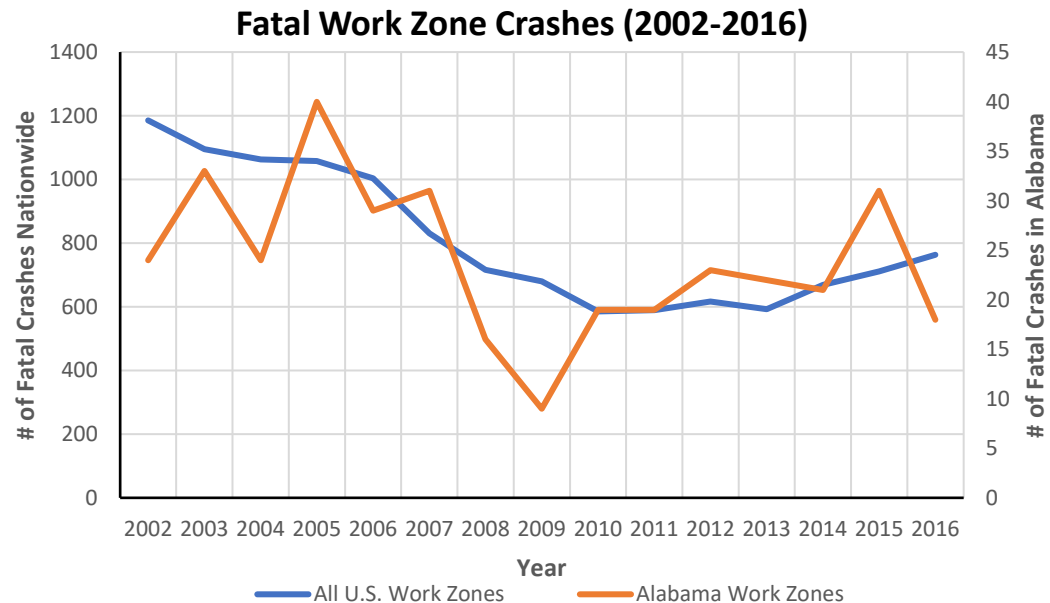
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# INTRODUCTION

- Work zones are commonplace and present an environment favorable for severe but preventable crashes
- Fatal work zone crashes accounted for 2.7% of all fatalities on Alabama highways in 2015, which was 40% higher than the national proportion (ALDOT 2016; NHTSA 2016)
- The factors influencing work zone crash severity are not well-understood despite substantial research efforts



# LITERATURE REVIEW

- Focus areas, methods, and findings vary greatly among past studies
- Generally agreed upon that work zones increase crash *frequency* and that most work zone crashes occur within the activity area (Garber and Zhao 2001; Yang et al. 2014)
- No common thread among factors that influence work zone crash *severity* (Akepati et al. 2011; Ozturk et al. 2015)
- Lack of detailed, useful work zone crash data (Dissanayake and Dias 2015; NCHRP Report 627; ATSSA 2013)

# LITERATURE REVIEW

- The Model Minimum Uniform Crash Criteria (NHTSA 2017) defines a work zone-related crash as “in or related to a construction zone...whether or not workers were actually present...even if the first harmful event occurred before the first warning sign”
- Despite this, only 39% of crashes in the study database were marked as work zone-related by attending law enforcement officers



# RESEARCH OBJECTIVES

- Develop a multi-year work zone crash database using traffic crash reports and ALDOT project inspector reports
- Determine factors associated with work zone crash severity and quantify their significance using frequency distribution and regression analysis
- Provide recommendations for improving work zone design, operations, and crash reporting practices
- Suggest topics for future research using this database and others

# DATA COLLECTION AND MANAGEMENT

- 5,410 hardcopy crash records were scanned and manually entered into a spreadsheet from three main sources:
  - Alabama Uniform Traffic Crash Reports
  - Contractor Letters to ALDOT
  - ALDOT Traffic Control Inspector Reports (Form C-25A)



# DATA COLLECTION AND MANAGEMENT

## Form C-25A

- Completed even for crashes not marked as work zone-related by law enforcement officers
- Contains information related to traffic control in place, worker involvement, and construction equipment involvement
- Used to verify and supplement information from traffic crash reports

Form C-25-A  
Rev. 3/2008

Alabama Department of Transportation  
CRASH REPORT

| Project Information |                      |  |  |
|---------------------|----------------------|--|--|
| Date                | Project /Contract ID |  |  |
| Division            | Contractor           |  |  |
| District            | Project Description  |  |  |
| County              | Location             |  |  |

| Crash Information   |  |  |                |
|---|--|--|----------------|
| Date of Crash   |  |  | Time of Crash  |
| Weather Condition   |  |  | Road Condition |
| Location of Crash (Use Mile Post Reference if Possible)   |  |  |                |
| Description of Traffic Control in place (lane closures, haul road crossing, etc.) List Typical Application (TA #) from MUTCD, Part 6 if applicable. |  |  |                |
| Phase of Sequence of Construction in Use at Time of Crash, if Applicable  |  |  |                |
| Type of Work Being Performed in Crash Area, if Applicable   |  |  |                |
| Injuries or Fatalities  |  |  |                |
| Vehicles (Type), Equipment, etc. Involved   |  |  |                |
| Description of Crash (List names of witnesses, investigating officer, and other pertinent data)   |  |  |                |

pc: District  
Division  
File

Signature of Project Traffic Control Inspector

Attachments: Alabama Uniform Traffic Crash Report (AUTCR)  
Contractor's Written Report

# DATA COLLECTION AND MANAGEMENT

- Each crash record (row) was described by 152 data fields (columns)
- These fields were evaluated to determine which were irrelevant or had multiple categories that could be collapsed

Independent Variables Considered in Model Development

| Variable No. | Variable Group                | Variable Name                           |
|--------------|-------------------------------|---|
| 1            | Temporal Characteristics      | Time of Day                             |
| 2            |                               | Day of Week                             |
| 3            | Environmental Characteristics | <del>Light</del>                        |
| 4            |                               | Weather                                 |
| 5            |                               | Locale                                  |
| 6            | Roadway Characteristics       | Highway Classification                  |
| 7            |                               | <del>Highway Side</del>                 |
| 8            |                               | Traffic Control                         |
| 9            |                               | Trafficway Lanes                        |
| 10           |                               | <del>Roadway Condition</del>            |
| 11           | Crash Characteristics         | Primary Contributing Factor             |
| 12           |                               | First Harmful Event                     |
| 13           |                               | <del>First Harmful Event Location</del> |
| 14           |                               | Manner of Crash                         |
| 15           | Work Zone Characteristics     | Work Zone Relationship                  |
| 16           |                               | Work Zone Type                          |

- 16 variables initially retained
- 12 variables used in final model



# METHODOLOGY

- Crash severity is described by the KABCO scale:

K = Fatal

A = Incapacitating Injury

B = Non-incapacitating Injury

C = Possible Injury

O = Property Damage Only

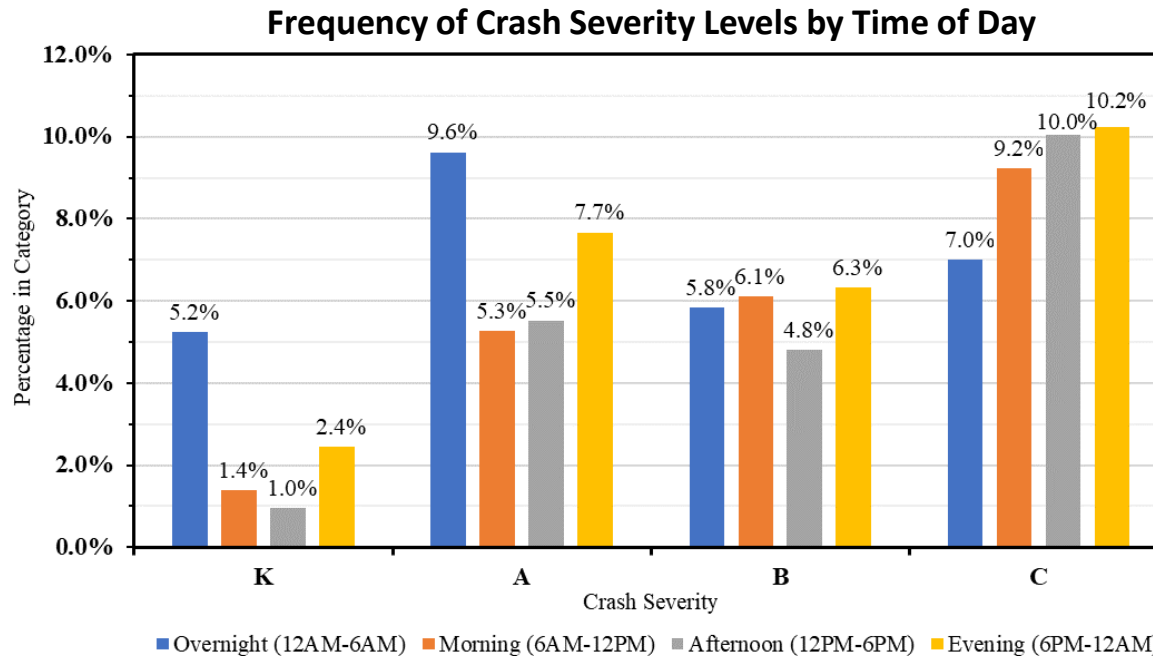
- An ordered probit regression model was found appropriate and processed in SPSS Statistics

# FINDINGS

- 25 categories from 11 of 12 modeled variables found significant at  $\alpha = 0.05$
- *Work Zone Relationship* (i.e. location within work zone) was the only variable with no significant categories
- Crash characteristics such as *Primary Contributing Factor*, *First Harmful Event*, and *Manner of Crash* had the strongest influence on crash severity in the database
- Speed may be an underlying factor influencing the significance of several other variables

# Temporal Characteristics

| Parameter                |                               | Coefficient | t-statistic | p-value |
|--------------------------|-------------------------------|-------------|-------------|---------|
| $\gamma_1$ (Threshold 1) |                               | 1.174       | 30.976      | < 0.001 |
| $\gamma_2$ (Threshold 2) |                               | 1.600       | 39.604      | < 0.001 |
| $\gamma_3$ (Threshold 3) |                               | 1.966       | 44.580      | < 0.001 |
| $\gamma_4$ (Threshold 4) |                               | 2.847       | 44.905      | < 0.001 |
| Temporal Characteristics | <i>Time of Day: Overnight</i> | 0.190       | 2.473       | 0.013   |
|                          | <i>Time of Day: Evening</i>   | 0.146       | 2.928       | 0.003   |



# Environmental Characteristics

| Parameter                     |                             | Coefficient | t-statistic | p-value |
|-------------------------------|-----------------------------|-------------|-------------|---------|
| $\gamma_1$ (Threshold 1)      |                             | 1.174       | 30.976      | < 0.001 |
| $\gamma_2$ (Threshold 2)      |                             | 1.600       | 39.604      | < 0.001 |
| $\gamma_3$ (Threshold 3)      |                             | 1.966       | 44.580      | < 0.001 |
| $\gamma_4$ (Threshold 4)      |                             | 2.847       | 44.905      | < 0.001 |
| Environmental Characteristics | <i>Locale: Open Country</i> | 0.188       | 4.687       | < 0.001 |
|                               | <i>Weather: Rain</i>        | -0.196      | -3.234      | 0.001   |

- *Open Country* (i.e. rural) locales increase the risk of severe work zone crashes
  - Speed limits are higher and drivers less likely to expect work zones in rural areas
- During *Rain*, severe work zone crashes are less likely
  - Drivers are more cautious during adverse weather conditions and work zones are less likely to be operational

# Roadway Characteristics

| Parameter                |   | Coefficient | t-statistic | p-value |
|--------------------------|---|-------------|-------------|---------|
| $\gamma_1$ (Threshold 1) |   | 1.174       | 30.976      | < 0.001 |
| $\gamma_2$ (Threshold 2) |   | 1.600       | 39.604      | < 0.001 |
| $\gamma_3$ (Threshold 3) |   | 1.966       | 44.580      | < 0.001 |
| $\gamma_4$ (Threshold 4) |   | 2.847       | 44.905      | < 0.001 |
| Roadway Characteristics  | <i>Traffic Control: No Passing Zone</i> | 0.677       | 5.609       | < 0.001 |
|                          | <i>Highway Classification: Federal</i>  | 0.365       | 7.249       | < 0.001 |
|                          | <i>Highway Classification: State</i>    | 0.199       | 3.545       | < 0.001 |
|                          | <i>Trafficway Lanes: Two</i>            | 0.162       | 3.277       | 0.001   |

- In Alabama, 62% of all *Federal* and *State* route lane-miles are classified as rural (FHWA 2016)
- In 2015, 48% of all fatalities nationwide occurred on rural facilities, which had a fatality rate 2.6 times greater than those in urban areas (NHTSA 2016)
- In 2015, 16.1% of all fatal work zone crashes in Alabama occurred in no-passing zones (ALDOT 2015)

# Crash Characteristics

| Parameter                |   | Coefficient | t-statistic | p-value |
|--------------------------|---|-------------|-------------|---------|
| $\gamma_1$ (Threshold 1) |   | 1.174       | 30.976      | < 0.001 |
| $\gamma_2$ (Threshold 2) |   | 1.600       | 39.604      | < 0.001 |
| $\gamma_3$ (Threshold 3) |   | 1.966       | 44.580      | < 0.001 |
| $\gamma_4$ (Threshold 4) |   | 2.847       | 44.905      | < 0.001 |
| Crash Characteristics    | <i>Manner of Crash: Head-On</i>                                 | 1.430       | 9.127       | < 0.001 |
|                          | <i>First Harmful Event: Rollover/Jackknife</i>                  | 0.863       | 6.001       | < 0.001 |
|                          | <i>First Harmful Event: Collision with Bicyclist/Pedestrian</i> | 0.574       | 3.032       | 0.002   |
|                          | <i>Manner of Crash: Angle</i>                                   | 0.546       | 10.889      | < 0.001 |
|                          | <i>Manner of Crash: Single Vehicle Crash</i>                    | 0.397       | 7.089       | < 0.001 |
|                          | <i>Primary Contributing Factor: Excessive Speed</i>             | 0.281       | 3.253       | 0.001   |

- Crashes involving pedestrians were not frequent (41 records), but were often fatal (17 fatalities)
- Excessive speed had the highest frequency of the above categories (241 records) and lead to fatal or injury crashes 36% of the time
- The significant crash types in the model are typically severe, but especially in Alabama work zones

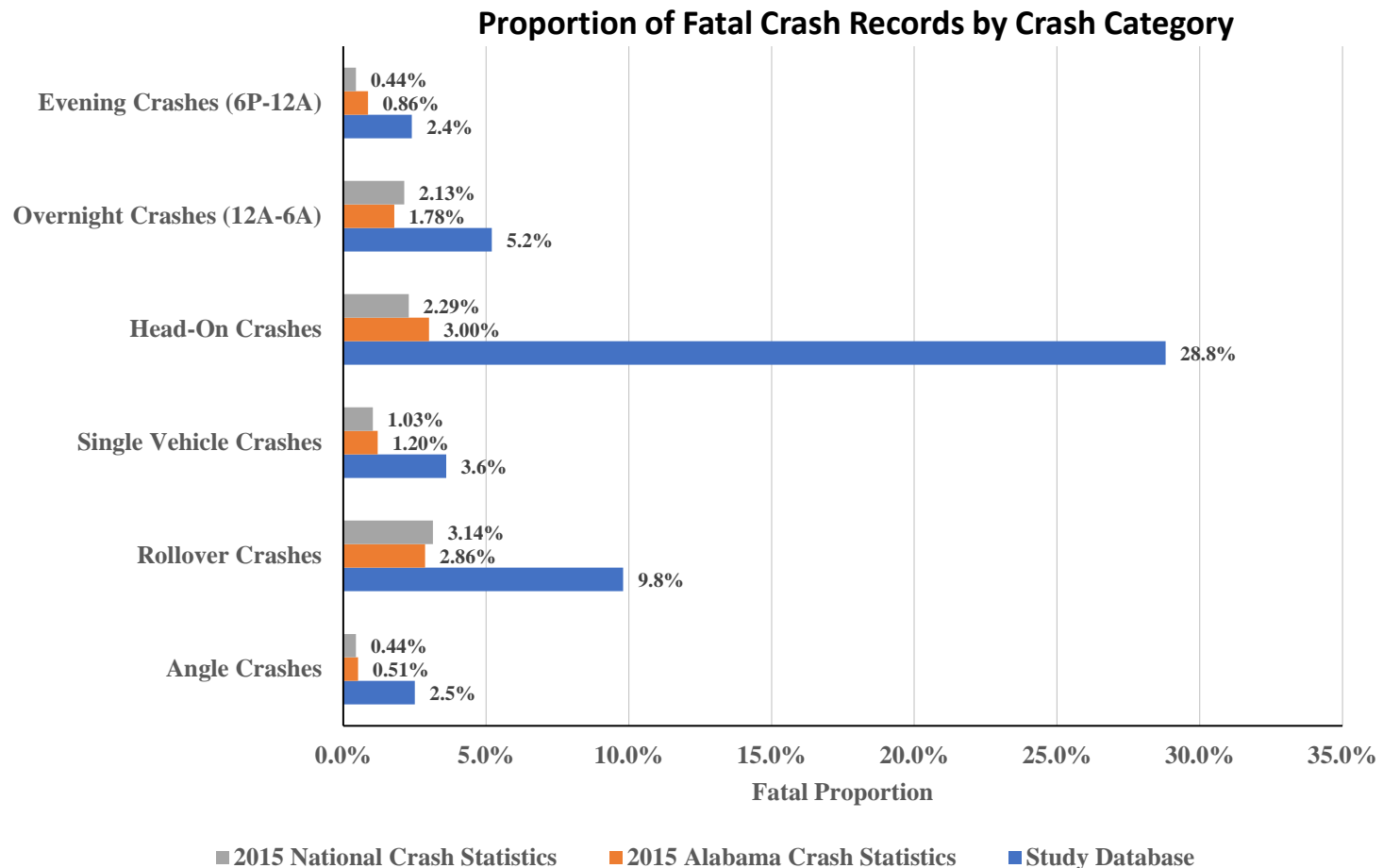
# Work Zone Characteristics

| Parameter                 |   | Coefficient | t-statistic | p-value |
|---------------------------|---|-------------|-------------|---------|
| $\gamma_1$ (Threshold 1)  |   | 1.174       | 30.976      | < 0.001 |
| $\gamma_2$ (Threshold 2)  |   | 1.600       | 39.604      | < 0.001 |
| $\gamma_3$ (Threshold 3)  |   | 1.966       | 44.580      | < 0.001 |
| $\gamma_4$ (Threshold 4)  |   | 2.847       | 44.905      | < 0.001 |
| Work Zone Characteristics | Work Zone Type: Work on Shoulder/Median | 0.436       | 2.856       | 0.004   |
|                           | Work Zone Type: Lane Shift/Closure      | 0.316       | 2.580       | 0.010   |

- Work zones involving shoulder/median work or a lane shift/closure had a positive influence on crash severity relative to crashes marked *not applicable*
- Crashes occurring in temporary work zones that involve a change in normal traffic patterns are more likely to be severe

# CONCLUSIONS

- The coefficients in the regression model could often be explained by statistical trends that hold true among all highways, but many fatality rates were exaggerated in the study database





# STUDY LIMITATIONS

- Due to data cleaning issues and time constraints, supplemental data from form C-25 was not fully utilized
- *A Work Zone Relationship of Not in/Related to Work Zone* constituted 60% of the database, so analysis of this variable was limited
- Future research using this database and others should take advantage of information from supplemental reports

# RECOMMENDATIONS

- Agencies should be particularly focused on improving design and operation of temporary work zones, especially those occurring in rural areas
- ALDOT and other agencies should work to improve work zone crash reporting policies by:
  - Providing proper training to law enforcement officers
  - Ensuring adequate geographic coverage of reporting
  - Maintaining electronic databases created from the sources used in this study

# QUESTIONS?



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